



RCE/GP

PTO/SB/30 (5/2000)  
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# REQUEST FOR CONTINUED EXAMINATION (RCE)- TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/964,796
Filing Date	9/28/01
First Named Inventor	Maximilian ANGEL
Group Art Unit	1713
Examiner Name	Reddick
Attorney Docket Number	51796

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This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
  - i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
  - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
  - i. ☒ Amendment/Reply
  - ii. ☐ Affidavit(s)/Declaration(s)
  - iii. ☐ Information Disclosure Statement (IDS)
  - iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.


- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 11-0345
  - i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
  - ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
  - iii. ☒ Other Any deficiency
- b. ☒ Check in the amount of \$ 880.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	David Liechty	Registration No. (Attorney/Agent)	48,692
Signature		Date	11/24/03

## CERTIFICATE OF MAILING OR TRANSMISSION

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Name (Print/Type)	David Liechty		
Signature		Date	11/24/03

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TTC 1700

In re Application of )

ANGEL et al. )

Art Unit: 1713

Serial No. 09/964,796 )

Examiner: Reddick, Marie L.

Filed: September 28, 2001 )

For: WATER-SOLUBLE OR WATER-DISPERSIBLE (CO)POLYMERS OF  
HYDROXYALKYL (METH)ACRYLATES, A PROCESS FOR THEIR  
PREPARATION, AND THEIR USE AS COATING AGENT, BINDER AND/OR  
FILM-FORMING EXCIPIENT IN PHARMACEUTICAL DOSAGE FORMS

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Honorable Commissioner of  
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Washington, D.C. 20231

REQUEST FOR CONTINUED EXAMINATION  
UNDER 37 CFR §1.114

Sir:

In response to the office action dated July 24, 2003, and further in response to  
the advisory action mailed November 4, 2003, applicants respectfully request continued  
examination of the present application, based on the following amendments and  
remarks.

11/28/2003 AWONDAF1 00000025 09964796

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